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SUMMARY OF THE DOCTORAL THESIS

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CONSTANȚA

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**RESPIRATORY RECOVERY WITH
INTERMITTENT HYPOXIA-
HYPEROXIA IN PATIENTS WITH
METABOLIC SYNDROME**

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The doctoral thesis includes:

- CURRENT STATE OF KNOWLEDGE – organized in 4 chapters
- PERSONAL CONTRIBUTION – organized into 2 chapters
- 350 bibliographic references
- 187 figures
- 63 tables

NOTE:

- The table of contents in the summary is the original one from the thesis.
- Bibliographic indices appear in ascending order but are not consecutively numbered, as the numbering from the full thesis was preserved.
- The complete bibliography used in the thesis is included at the end of the summary.

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INTRODUCTION

Metabolic syndrome (MS) is a major public health problem globally, with a continuously increasing prevalence and being closely associated with the development of important pathologies, such as pulmonary and cardiovascular pathologies, hypertension, and type 2 diabetes. WHO data indicate that MS affects about 25% of adults globally, with serious implications for public health. In addition to the direct risks to physical health, MS significantly contributes to increased healthcare costs and decreased economic productivity. In Romania, its prevalence is alarming, underscoring the urgent need for effective interventions to prevent and treat this condition.

MS is linked to alterations in lung function due to systemic inflammatory processes, impaired endothelial function, and the physical impact of obesity on the respiratory function.

Despite advances in conventional treatments for metabolic syndrome, such as lifestyle changes and medications, many patients do not achieve satisfactory results from these interventions. Thus, innovative therapies, such as intermittent hypoxia-hyperoxia therapy (IHHT), which stimulate the body physiologically to support adaptive benefits, appear to be a strategy with considerable potential.

In the realm of medical innovation, IHHT stands out as a highly relevant topic, integrating areas like medicine, biochemistry, physiology, and medical technology. Its investigation in the context of MS management is of notable significance. IHHT is a relatively recent but promising therapeutic approach that involves alternating periods of exposure to low oxygen (hypoxia) and high oxygen (hyperoxia), stimulating cell regeneration, erythropoiesis, angiogenesis, and improving insulin sensitivity. It may represent an important strategy in MS through its ability to stimulate beneficial adaptations at the pulmonary level, such as increasing ventilatory efficiency, improving oxygenation, and reducing obesity-associated pulmonary dysfunction.

The study of this therapy in the context of MS has the potential to address a significant medical need, providing an additional therapeutic approach with the possibility of improving current treatments and contributing to decreasing the prevalence and impact of MS globally. This theme aligns with global efforts to address chronic pathologies through preventive strategies and innovative therapies.

Personal motivation includes the desire to contribute to the development of personalized therapies for patients with MS. Investigation of IHHT offers the opportunity to tailor treatments to individual patient needs.

The specialized literature was systematized in a dedicated section (General Part), structured in 4 chapters, within which fundamental concepts and theories supporting the scientific approach of the thesis were analyzed in detail. Each chapter addressed essential aspects, explaining the theoretical foundations of the proposed therapy, the pathology addressed, the link between the pathology addressed and lung function, as well as relevant studies that contributed to the development of this research.

The special part of the work was structured in 2 chapters and followed the classic structure of an original study, including the sections of objectives, material and method, results, discussions, and conclusions, all based on the principles of evidence-based medicine.

During the study, we complied with the national legislation, in accordance with that of the European Union, in force, regarding research ethics, the identification and attribution of intellectual property rights over the results, and the informed consent of the patients.

This work will certainly contribute to the advancement of scientific research on the therapeutic potential of IHHT in managing MS and obesity. Advancing research in this field will enable the development of innovative treatment methods not only for obesity and MS but also for related conditions.

Chapter 1. Metabolic syndrome

MS is a complex of metabolic abnormalities that serve as a risk factor for type 2 diabetes mellitus (DM) and cardiovascular pathologies. The main characteristic components include hyperglycemia, increased blood pressure, elevated triglyceride levels, low high-density lipoprotein (HDL-cholesterol) levels, and obesity (especially central adiposity) [1].

The prevalence of MS varies worldwide and often corresponds with the prevalence of obesity. There is a wide variation in prevalence depending on age, sex, ethnicity, and the criteria used for diagnosis. MS affects one-fifth or more of the US population and about one-quarter of the European population. Southeast Asia has a lower prevalence, but is rapidly moving towards rates similar to the Western world [6]. In Romania, the prevalence of MS in adults aged 20 to 79 years was 38.5%, obesity affected 31.9% of individuals, while 34.7% were classified as overweight (Predatorr Study). Furthermore, 73.9% of Romanian adults exhibited abdominal obesity [12].

Treatment options for patients with MS include lifestyle modification and drug therapy. Lifestyle modification can be summarized as dietary changes, exercise, and smoking cessation. Drug therapy indicated for reducing cardiometabolic risk includes antihypertensives, insulin sensitizers, and cholesterol-lowering agents [69]. Drug therapy for lipid profile and hypertension is required in most cases. Hypertension should be carefully managed, with a target of 130/80 mmHg [19].

However, there are inconsistencies and gaps in the evidence, and further research is needed to define the most appropriate therapies for MS [74].

Chapter 2. Obesity

Obesity is a complex, multifactorial condition characterized by excess body fat, which has a negative impact on health, through its association with the risk of developing DM, cardiovascular pathologies, hypertension, and hyperlipidemia [75,76].

BMI is used according to WHO guidelines. In adults, the WHO defines overweight as a BMI of 25.0 to 29.9 and obese as a BMI ≥ 30.0 . Obesity is classified into three levels of severity: class I (30.0–34.9 kilograms/square meters - kg/m²), class II (35.0–39.9 kg/m²), and class III (≥ 40 kg/m²) [75,82]. However, there are individual variations, and the BMI used [79].

Obesity is a global public health problem, and its increase is alarming. It is considered an epidemic, affecting one in three adults and one in six children in the United States. At the same time, many countries around the world have seen a doubling or even tripling of obesity prevalence over the last 30 years, a pattern commonly attributed to urban growth, lack of physical exercise, and greater intake of processed, calorie-packed foods [84,85].

Obesity rates have reached alarming levels in the European Union, including Romania, where the prevalence is estimated at 20–25% [87]. The reported prevalence of pediatric overweight and obesity in Romania is unclear: some studies estimate the overweight rate at 15–20% and the obesity rate at 8.7–10.7%, with a steadily increasing prevalence from 1980 to 2016 [88].

The implementation of weight management strategies aims to both prevent and treat obesity-related conditions, playing a key role in improving the overall health of the individual. These interventions are developed to address the complexity of obesity and to positively influence multiple aspects of lifestyle, health status, and optimal body functioning [99].

Evidence-based treatment of obesity includes 5 major categories:

- Behavioral interventions;
- Nutrition;
- Physical activity;
- Pharmacotherapy;
- Metabolic/bariatric procedures [171].

Chapter 3. Pulmonary function, obesity, and metabolic syndrome

Obesity has complex and incompletely understood effects on the respiratory system. There is increasing evidence that excess adiposity negatively impacts static and dynamic respiratory function, as measured by lung volumes and exercise capacity, to varying degrees. There is evidence to support the role of weight loss in achieving normalization of lung function parameters, but in the case of obesity, there are enormous challenges in achieving this goal for many subjects [179].

Obesity has long been recognized as having significant effects on respiratory function. Lung volumes tend to be reduced, especially expiratory reserve volume. Fat distribution, namely upper versus lower body, may be more important than BMI [180].

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A systematic review by L.C. Melo et al. concluded that obese individuals demonstrated reduced lung volumes and capacities compared with normal-weight individuals. Although investigations have demonstrated the presence of changes in lung function in the obese population, the physiological mechanisms underlying this situation remain unclear [181].

Adiposity can alter lung function by affecting chest wall motion, airway size, respiratory muscle function, and the ventilation/perfusion ratio. Adipose tissue deposited in the upper respiratory tract, diaphragm, chest wall, and abdominal wall causes decreased thoracic compliance, which induces impaired lung ventilation function in a restrictive pattern. In particular, abdominal adiposity is associated with respiratory dysfunction [185].

Obesity is detrimental to lung function. Medical practitioners need to recognize its negative impact on lung function, and managing weight should be an integral part of treating airway diseases in obese patients [209]. Given the high prevalence of MS in the general population, it is necessary to understand how this metabolic disorder affects the lung and how its complications can be prevented [186].

Chapter 4. Intermittent hypoxia-hyperoxia therapy

A novel approach involving short-term adaptive periodic training, which alternates the oxygen levels between hypoxia to hyperoxia, has been validated both theoretically and through experiments. Adaptation to alternating hypoxia and normoxia showed protective effects on cell membranes in the heart and cerebral cortex, but also led to increased vulnerability to free radical damage and a reduction in antioxidant defense components in the liver. Training with hypoxia-hyperoxia cycles produced a stronger membrane-stabilizing effect in the heart, brain, and liver compared to hypoxia-normoxia. Unlike hypoxia-normoxia adaptation, the protective response in hypoxia-hyperoxia training emerged as early as 15 days after the training began [237].

In the past ten years, IHHT has started to be adopted in clinical and athletic settings, where intervals of breathing atmospheric air are substituted with inhalation of a hyperoxic mixture (30-40% O₂). Some researchers suggest that delivering hypoxic and hyperoxic stimuli in sequence, rather than normoxic ones, during intermittent hypoxic training enhances the oxidative stress response (ORS) signal without increasing hypoxia [238].

The combination of hypoxia with periods of hyperoxia in a therapeutic protocol is based on the hypoxic-hyperoxic paradox, which is grounded in well-established physiological mechanisms. Exposure to hypoxia promotes mitogenesis and alters mitochondrial function

through the activation of HIF-1 and the stimulation of vascular endothelial growth factor, other important molecular pathways, and stem cell proliferation. Hyperoxic stimuli, which involve increased oxygen availability, favor the generation of ROS and their capture, activating the same molecular cascades as hypoxia [239].

This therapy has proven to be both safe and effective in the patient groups evaluated in existing studies. IHHT and IHT protocols represent promising non-drug intervention methods that are generally well tolerated. As such, it is reasonable to consider their inclusion in the therapeutic management of various medical conditions [241].

Chapter 1. Study I – IHHT in obese patients

Working hypothesis/ Objectives

➤ Primary objective:

-To investigate how IHHT influences lung function in individuals with obesity – This goal focuses on evaluating the therapy's effects on respiratory system performance in obese patients. Obesity can negatively influence pulmonary function by increasing the risk of sleep apnea, decreasing lung capacity, and changing in respiratory rhythm. This evaluation will help to understand the potential benefits of THHI in improving respiratory function.

➤ The secondary objectives of the present research include:

-Evaluation of the increase in exercise tolerance in the patients included in the study – Obesity can reduce exercise capacity due to early fatigue and difficult breathing. An important secondary objective of the study is to observe whether the application of IHHT contributes to improving exercise tolerance, an objective that may also refer to improving quality of life by increasing the level of physical activity.

-Determination of biological parameters potentially modifiable following the application of IHHT – This objective focuses on identifying biological parameters that may be influenced by IHHT. The study will analyze how IHHT can contribute to improving these parameters, which are essential in the management of obesity and associated comorbidities.

-Influence of IHHT on pre-existing pathologies – Obesity is often associated with pathologies such as hypertension, type 2 diabetes, dyslipidemia, or other cardiovascular pathologies. This objective aims to investigate how IHHT can influence these conditions. For example, it will be

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analyzed whether the therapy can contribute to improving glycemic control or reducing cholesterol levels, thus having a positive impact on overall health.

Materials and methods

Required materials

- The device that uses THHI (CellOxy);
- The device for performing spirometry;
- Medical equipment (pulse oximeter, blood pressure monitor, Tanita RD-953 scale);
- Facilities of the Balneal and Rehabilitation Sanatorium of Techirghiol (SBRT): medical offices, treatment spaces, technical equipment
- General clinical observation sheet of patients;
- Appendices: Patient information form (Appendix 1), patient informed consent (Appendix 2), obesity questionnaire (Appendix 3), questionnaire for the 6-minute walk test (Appendix 4);

Therapeutic intervention

The present work is based on the study of two groups of patients:

1. IHHT group (Intervention group) – Comprised of 40 obese individuals who received IHHT using the CellOxy device;
2. Control group - Included participants with similar characteristics who were not exposed to IHHT.

Patients in both groups benefited from complex medical rehabilitation treatment. In addition, those in the intervention group also benefited from THHI.

The study sample, composed of 80 patients, was selected according to the inclusion and exclusion criteria (Table I).

Table I – Inclusion and exclusion criteria

Inclusion criteria	Exclusion criteria
Patients with BMI ≥ 30 kg/m ²	Patients with BMI < 30 kg/m ²
Patients eligible for IHHT	Patients with IHHT contraindications (cardiovascular and/or pulmonary instability; acute systemic infections; hypoxia intolerance; decompensated pathologies; epilepsy; pacemaker; neoplasms; cardiac arrhythmias; vitamin C infusions or administration of high doses of vitamin C (oral over 1000 mg/day) or administration of beta-blockers)
Patient consent regarding study enrollment	Decline to provide informed consent

Patients under optimal drug therapy for associated health issues	Subjects without appropriate medication treatment for associated medical conditions
Age between 20-80 years old	Age under 20 or over 80
General contraindications for balneal treatment	
General contraindications for performing physiotherapy procedures	

IHHT was administered using the CellOxy device, manufactured by Physiomed [267]. The CellOxy device is a system that involves alternate breathing of hypoxic air (low oxygen level) with intervals of normoxic or hyperoxic air (high oxygen level). During the therapy, the patient lay in a comfortable position, slowly inhaling the air mixture provided through a mask [268].

Before starting the actual therapy, patients underwent two preliminary tests: Hypoxic Test 1 and Hypoxic Test 2, to assess the type of resistance and establish the optimal parameters for treatment.

After establishing the individual profile of each patient, which included determining the type of resistance and the target saturation, the actual therapy was initiated. The device automatically created personalized treatment plans for each patient based on the collected data.

After completing two hypoxic tests on the first day of hospitalization, patients in the intervention group began IHHT the next day. The treatment involved cycles of hypoxia with oxygen levels between 9% and 16%, 5 - 7 minutes, and hyperoxia at around 35% oxygen for 2 - 5 minutes, repeated 3 - 5 times [268].

During the 12-day SBRT hospitalization, patients in the intervention group received a total of nine sessions of IHHT. Alongside IHHT, the patients also received daily comprehensive medical rehabilitation care. In contrast, the control group only received the complex rehabilitation treatment, without any IHHT, neither real nor placebo.

Results and discussions

In the context of the continuous increase in the prevalence of obesity worldwide and its multidimensional impact on public health, medical studies have begun to pay increased attention to innovative and noninvasive methods. Among these, IHHT represents an approach that attracts increasing scientific interest, due to its potential to positively influence metabolic, inflammatory, and hormonal parameters associated with MS and obesity [241].

Following the application of the Wilcoxon Signed Ranks Test, statistically significant results of the improvement of the HTi index were observed ($p < 0.001$). The patient distribution

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within the intervention group demonstrated a positive result of this, given that 35 of the 40 participants recorded improvements in it, having a higher value at discharge of the HTI index than the value at admission. These data suggest a favorable response to the applied intervention, thus indicating the effectiveness of the treatment for the majority of participants.

The distribution of patients in the intervention group according to the existence of a higher HTi index value during hospitalization than the discharge value showed that 25 of the 40 patients recorded higher values during hospitalization, compared to the final discharge value. Although most patients presented a higher value at discharge than at admission, it is important to note that during hospitalization, they had even higher values of this index.

The outcome of the 6-minute walk test for the intervention group revealed statistically significant changes between the measured values at admission and those at discharge ($p < 0.001$), according to the Wilcoxon Signed Ranks test. These data suggest an increase in the physical tolerance of the patients, given that most of them walked a greater distance at discharge than at admission. These results indicate that IHHT had a positive impact on the physical capacity of the patients, contributing to the improvement of exercise tolerance, an essential factor in the management of obesity and associated comorbidities. These data align with the conclusions of the systematic review conducted by T. Behrendt, who demonstrated that IHHT can lead to an improvement in maximal oxygen consumption and an increase in exercise tolerance [254].

In the intervention group, urea values showed only a slight variation, without reaching a statistically convincing level ($p = 0.057$). In contrast, a clear decrease in uric acid was noted ($p = 0.027$), suggesting a possible favorable therapeutic effect. Creatinine also showed a clear decrease ($p = 0.001$), which may reflect an improvement in renal function. These data are consistent with the conclusions of previous studies indicating that intermittent hypoxia therapy can have a positive impact on biochemical markers and the general health status of patients [241].

For the intervention group, changes in blood glucose levels over the study period did not reach statistical significance, as indicated by the analysis results. No notable difference was observed between the initial and final measurements ($p = 0.053$). Although the result did not exceed the threshold of statistical significance, the p-value is very close to 0.05, which could suggest a discrete effect of IHHT on glycemic control, which is worth exploring in future studies or on a larger sample. The specialized literature provides solid evidence regarding the beneficial effects of the therapy on glucose metabolism. Studies have shown that it can contribute to lowering blood glucose levels and improving glucose homeostasis, both in healthy subjects and in people with prediabetes [254, 283].

For the intervention group, the statistical analysis performed using the Wilcoxon Signed Ranks test revealed the presence of statistically significant differences between total cholesterol values at admission and at discharge ($p = 0.020$). These data suggest a beneficial impact of the intervention on the lipid profile of patients. This result is also supported by the literature, which indicates that IHHT can contribute to the reduction of total cholesterol levels, thus representing an effective complementary approach in the management of dyslipidemia in obese patients [239, 251, 284].

Compared to the control group, the results regarding liver function parameters in the intervention group showed a different effect of the intervention on liver enzymes. The Wilcoxon Signed Ranks test indicated the existence of statistically significant differences between AST values at admission and those at discharge ($p = 0.005$), suggesting a possible improvement of this liver marker following therapy. In contrast, ALT values did not show significant changes ($p = 0.640$), suggesting that the intervention did not significantly influence this liver parameter during the study. The specialized literature highlights the beneficial effects of IHHT on liver function, especially in the context of metabolic disorders. Available studies have demonstrated that IHHT can have a significant positive impact, contributing to the reduction of the degree of hepatic steatosis and the decrease in the level of liver fibrosis [284].

Analysis of Tiffeneau index values in the intervention group using the Wilcoxon Signed Ranks test revealed statistically significant differences between the time of admission and discharge ($p < 0.001$), indicating a notable improvement in pulmonary function. This result suggests that IHHT had a beneficial effect on respiratory function, an essential aspect in the context of obesity, where pulmonary function is frequently impaired. Given these observations, obese patients should be encouraged to lose weight to reduce the risk of developing respiratory pathologies or to improve pre-existing conditions [285].

Conclusions

Obesity is one of the most widespread public health problems worldwide, being associated with multiple metabolic, cardiovascular, and respiratory comorbidities. In this context, the identification of effective, safe, and accessible therapeutic interventions is of major importance. IHHT has increasingly emerged as a promising method in the therapeutic arsenal for obese patients, with the potential to induce a series of physiological benefits with significant clinical impact.

Regarding lung function, the Tiffeneau index has experienced a significant improvement, suggesting a respiratory benefit among obese patients undergoing therapy.

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Improving the Tiffeneau index is a valuable result, especially in the context of obesity, where lung function is often compromised. Due to excess weight and mechanical limitations on the chest, breathing becomes more difficult, and ventilation capacity is reduced. Therefore, any sign of improvement in respiratory function is particularly important for these patients, contributing not only to respiratory comfort but also to better exercise tolerance and improved general health.

An increase in the distance covered in the 6-minute walk test indicates an improvement in overall functional capacity, cardiovascular and respiratory efficiency. In the case of obese patients, such a change reflects a positive response to therapy and better tolerance to daily physical exertion.

The increase in the HTi index value in the intervention group indicates a positive adaptation of the body to intermittent exposure to hypoxia-hyperoxia, which suggests an increased capacity to respond to hypoxic stress. A higher HTi implies that the patient becomes more efficient in using oxygen, which may have favorable implications on energy metabolism and exercise resistance.

The decrease in uric acid, creatinine, and total cholesterol values may indicate a general improvement in metabolic status and systemic health. Lower uric acid levels suggest an improvement in purine metabolism and a reduction in oxidative stress. At the same time, the decrease in creatinine may reflect an improvement in renal function, possibly by reducing systemic inflammation. The reduction in total cholesterol highlights an improvement in the lipid profile, contributing to a decrease in the risk of atherosclerosis and cardiovascular events.

Regarding liver function, an improvement in AST values was observed, suggesting a possible improvement in liver status. Although ALT did not show a statistically significant change, the decreasing trend close to the statistically significant threshold may indicate a beneficial effect, which could become more evident in a longer-term study.

Another important element of this study is that the therapy was well tolerated, with no adverse reactions reported during the study, which supports its favorable safety profile. This aspect is essential in the context of applying such an intervention on a large scale, including in patients with multiple comorbidities, where the tolerability of the therapy is a major criterion in the therapeutic decision.

In this study, not all variables measured in the intervention group presented statistically significant results. However, it is essential to emphasize that, despite the absence of statistical significance, favorable trends were observed in some patients, which may indicate an individual positive response to IHHT. Such trends may reflect the beginning of physiological adaptation

processes, which could become more evident in interventions carried out over longer periods or in larger cohorts. These may represent a direction worth exploring in future research.

In conclusion, IHHT proves to be an innovative and effective strategy, with a favorable safety and efficacy profile, which deserves to be included in future therapeutic guidelines dedicated to obesity and its associated complications. Given the evidence of the beneficial effect of the therapy on pulmonary function, its inclusion in the therapeutic plan should be seriously considered in obese patients, in whom respiratory impairment represents a significant risk.

Chapter 2. Study II– IHHT in patients with metabolic syndrome

Working hypothesis/ Objectives

This paper aims to analyze how IHHT can influence the health status of patients diagnosed with MS by observing variations in the monitored parameters. This approach may provide a clearer perspective on the therapeutic potential of the method and on the factors that could condition the response to treatment.

➤ Main objective of this study:

-The effect of IHHT on lung function in patients with MS – Given that IHHT involves repeated exposure to controlled variations in oxygen concentration, it is important to evaluate to what extent this intervention can influence respiratory parameters. The study aims to observe possible changes in lung function and determine whether this method can bring additional benefits in the management of MS.

➤ The secondary objectives of the present research include:

-Determination of respiratory parameters of the PowerBreathe device potentially modifiable following the application of the therapy – This objective aims to evaluate the effect of IHHT on pulmonary function, using the PowerBreathe device, which measures different respiratory parameters. The aim is to identify the potential of this therapy based on alternating exposure to hypoxia and hyperoxia to improve the efficiency and resistance of the respiratory system.

-Increase in exercise tolerance in patients included in the study – This objective aims to evaluate the ability of patients to sustain a more intense physical effort following IHHT. The aim is to observe possible improvements in exercise tolerance, which could indicate a positive

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adaptation of the respiratory, cardiovascular, and muscular systems, thus contributing to an increased quality of life for patients with MS.

-Determination of the lipid profile potentially modifiable following the application of therapy --This objective involves the evaluation of IHHT on the levels of lipid components in the blood, such as total cholesterol, LDL cholesterol, HDL cholesterol, and triglycerides. By monitoring these parameters before and after the application of therapy, the aim is to identify changes that could indicate a favorable impact on the lipid metabolism of patients with MS.

-Determination of the glucose profile potentially modifiable following the application of therapy – This objective aims to monitor the glycemic values of patients undergoing IHHT, to highlight any changes that may occur following this intervention. This analysis can provide useful information on the potential of IHHT to contribute to maintaining glycemic balance in the patients studied.

-Determination of body composition using the Tanita scale, potentially modifiable following the application of therapy – The study will analyze changes in body composition using the Tanita scale, which allows the evaluation of parameters such as: body fat, body water, muscle mass, muscle quality, muscle score, bone mass, visceral fat, and metabolic age. The aim is to observe whether IHHT determines favorable changes in body structure, such as reduction of fat mass and improvement of muscle mass, indicators relevant in the context of MS.

Materials and methods

Required materials

- The device that uses THHI (CellOxy);
- The device for performing spirometry;
- The device for performing lung X-rays;
- Ultrasound for performing abdominal ultrasounds;
- The PowerBreathe device;
- Medical equipment (pulse oximeter, blood pressure monitor, Tanita RD-953 scale);
- Facilities of the Balneal and Rehabilitation Sanatorium of Techirghiol (SBRT): medical offices, treatment spaces, technical equipment;
- General clinical observation sheet of patients;
- Appendices: Patient information form (Appendix 1), patient informed consent (Appendix 2), Modified Medical Research Council Dyspnea Scale - mMRC (Appendix 3), questionnaire for the 6-minute walk test (Appendix 4).

Therapeutic intervention

This work is based on the comparative analysis of two groups of patients:

1. The intervention group (IHHT group) – It included 39 patients diagnosed with MS, who underwent IHHT generated by the CellOxy device;
2. The control group – It included 40 patients diagnosed with MS, who underwent simulated (placebo) IHHT.

During the hospitalization within SBRT, the patients underwent daily complex balneo-physical-kinetic treatment. This included IHHT (real in the case of the intervention group and simulated for the patients in the control group), hydrokinotherapy in the water pool of Lake Techirghiol, peloidotherapy, electrotherapy, massage therapy, and kinetotherapy (performed by the patients of both groups).

The study was conducted for 12 days, during which the patients benefited from treatment for 10 days, distributed over 5 days each week, with a break on the weekend. The treatment was applied according to a pre-established therapeutic plan, adjusted according to the individual needs of each patient.

The selection of the study group consisting of 79 patients was carried out based on inclusion and exclusion criteria, respecting the methodological standards specific to scientific research (Table I).

Table I – Inclusion and exclusion criteria

Inclusion criteria	Exclusion criteria
Patients with a definite diagnosis of MS	Patients who do not have a definite diagnosis of MS
Patients eligible for IHHT	Patients with IHHT contraindications (cardiovascular and/or pulmonary instability; acute systemic infections; hypoxia intolerance; decompensated pathologies; epilepsy; pacemaker; neoplasms; cardiac arrhythmias; vitamin C infusions or administration of high doses of vitamin C (oral over 1000 mg/day) or administration of beta-blockers)
Patient consent regarding study enrollment	Refusal to sign the patient's informed consent
Patients undergoing optimal drug treatment for associated pathologies	Patients who are not receiving optimal drug treatment for associated pathologies
Age between 20-80 years old	Age under 20 or over 80
General contraindications for balneal treatment	
General contraindications for performing physiotherapy procedures	

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Contraindications of the PowerBreathe device (recent stroke (hemorrhagic or ischemic); neoplasms; dementia; acute viral or somatic diseases; history of spontaneous pneumothorax; patients with bronchial asthma with frequent exacerbations; traumatic lung injuries that have not completely healed; pulmonary hypertension; large bubbles on chest X-ray; marked osteoporosis with a history of rib fractures; ruptured eardrum that has not completely healed or any other eardrum condition)

In this study, patients received IHHT generated by the CellOxy device, manufactured by Physiomed [267].

To establish optimal treatment parameters and assess the level of resistance, patients underwent two preliminary tests before therapy: hypoxic test 1 and hypoxic test 2. Based on the individual profile, established by the type of resistance and the target saturation, the actual therapy was started. The device automatically generated a personalized session plan for each patient, using the data obtained. After performing the two hypoxic tests on the first day of hospitalization, patients in the intervention group began IHHT on the following day. The procedure consisted of 3-5 cycles, each with a hypoxia phase (9-16% O₂, 5-7 minutes) followed by hyperoxia (~ 35% O₂, 2-5 minutes) [268].

Patients in the intervention group underwent nine IHHT sessions during the 12 days of hospitalization. Patients in the control group followed the therapy under the same conditions as the intervention group, but it was administered as a placebo; the patients received atmospheric air through a mask, without actually benefiting from IHHT, although hypoxic tests 1 and 2 were also performed for these patients. Simultaneously with IHHT (real or placebo), the patients also benefited from the complex medical rehabilitation treatment daily.

Results and discussions

In the face of increasingly evident challenges related to the efficacy and adherence to conventional treatments for MS, HHT is emerging as an alternative, non-invasive, and safe therapeutic option. Studies available in the literature confirm the safety profile and efficacy of IHHT, highlighting its practical applicability in the management of a varied spectrum of conditions [241].

The results of the Wilcoxon Signed Ranks test on the evolution of lung function, reflected by the Tiffeneau index, were different in the two groups. In the intervention group, the values of this index recorded a statistically significant increase from hospitalization to outpatient care ($p < 0.001$). This result suggests a favorable response to IHHT, through the improvement of respiratory function. In contrast, in the control group, the Tiffeneau index did not show a significant change, indicating that the placebo therapy did not cause any change in this parameter. The improvement in Tiffeneau index values in the intervention group is consistent with data from the literature, which supports the effectiveness of IHHT in improving respiratory parameters. Thus, it meets the idea that this information may be beneficial for patients with respiratory pathologies [330].

In the intervention group, where IHHT was applied, a statistically significant improvement in the results of the 6-minute walk test ($p < 0.001$) was observed, according to the Wilcoxon Signed Ranks test, which suggests a positive impact of the intervention on functional capacity. Considering that the 6-minute walk test is recommended by the guidelines as a tool for assessing exercise tolerance and estimating the prognosis in the underlying condition [331], the results obtained confirm the beneficial potential of IHHT, supporting the hypothesis that it can contribute to maintaining and improving functional capacity, but also exercise tolerance.

The results regarding the evolution of the mMRC dyspnea scale indicate a favorable trend in the intervention group, in which patients followed IHHT. In contrast, in the control group, where simulated therapy was applied, no improvement in this scale was observed. This difference suggests that IHHT may have a beneficial effect on the perception of dyspnea, although the proportion of patients with improvement remains relatively modest. The absence of any improvement in the control group supports the idea that the observed improvement is likely related to IHHT and not to other factors of medical rehabilitation. However, further studies, possibly over a longer period of time, would be needed to confirm this trend.

Following the application of the Wilcoxon Signed Ranks test, statistically significant results of the improvement of the HTi index were observed ($p < 0.001$). The distribution of patients in the intervention group showed a positive result of this, since 32 of the 39 participants recorded improvements. These data suggest a favorable reaction to the applied intervention, thus indicating the effectiveness of the treatment for the majority of participants.

The results of the Wilcoxon Signed Ranks test for both groups show that there are no statistically significant differences between the leukocyte values at admission and at discharge ($p = 0.978$ in the intervention group, $p = 0.558$ in the control group, both > 0.05). This indicates

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that the leukocyte level remained stable during the treatment period, both in the group that benefited from real IHHT and in the group that benefited from placebo IHHT.

The analysis of hematological parameters revealed different developments depending on the analyzed group. For the intervention group, statistically significant increases were observed in both the number of erythrocytes ($p = 0.001$) and the concentration of hemoglobin ($p = 0.014$), between the time of admission and discharge. These results suggest a beneficial effect of THHI on the stimulation of erythropoiesis, an effect also supported by the specialized literature, which highlighted that intermittent exposure to hypoxia activates the production of EPO. A few minutes of exposure to hypoxia are sufficient to stabilize HIF-1 alpha, resulting in the transcription and production of the EPO gene [332]. In contrast, in the control group, no significant changes were recorded in the values of erythrocytes ($p = 0.245$), but the values of hemoglobin increased significantly ($p = 0.014$). This isolated change can be attributed to non-specific factors, considering that only hemoglobin was modified, while the value of erythrocytes did not show significant changes. In conclusion, only in the intervention group is a coherent and statistically significant hematological change in erythrocyte parameters observed, which supports the potential of IHHT to stimulate hematological components involved in oxygen transport.

The analysis of biochemical parameters of renal function (urea, uric acid, and creatinine) revealed notable differences between the two groups. In the intervention group, statistically significant decreases in urea ($p = 0.023$) and uric acid ($p = 0.047$) were observed, indicating a beneficial effect of IHHT on renal function. In contrast, creatinine values remained stable ($p = 0.325$). In the control group, no significant changes were observed in urea ($p = 0.648$) and uric acid ($p = 0.830$), and a statistically significant negative change was observed in creatinine ($p = 0.038$, creatinine at discharge was higher than that at admission), indicating a lack of relevant changes in these renal parameters. The current scientific literature provides promising evidence regarding the beneficial effects of IHHT on renal function [330].

The Wilcoxon Signed Ranks test revealed significant differences between the blood glucose values at admission and those at discharge, both in the intervention and control groups. In the case of the intervention group, $p = 0.001$, indicating a clear decrease in blood glucose values at discharge, compared to the time of admission. In the control group, although the placebo intervention was applied, a decrease in blood glucose was still observed at discharge ($p = 0.014$). However, the level of statistical significance is lower compared to the intervention group, which may indicate a superior efficiency of IHHT compared to placebo treatment. These

results can be interpreted in the context of the specialized literature, suggesting that, among the beneficial effects of IHHT, improvement in insulin sensitivity is also included [268].

Analysis of the evolution of the lipid profile by applying the Wilcoxon Signed Ranks test revealed significant differences between the two groups during the intervention period. In the intervention group, statistically significant improvements were observed in total cholesterol ($p = 0.017$), triglycerides ($p = 0.039$), as well as HDL cholesterol ($p < 0.001$). These changes indicate a favorable effect of the intervention on lipid metabolism. In contrast, LDL cholesterol values did not undergo statistically significant changes ($p = 0.238$), suggesting a possible limited influence of IHHT on this parameter. In the control group, only a significant improvement in HDL cholesterol was highlighted ($p = 0.040$), and the other lipid parameters did not show statistically significant changes ($p = 0.477$ for total cholesterol, $p = 0.554$ for LDL cholesterol, $p = 0.752$ for triglycerides). In the literature, IHHT is associated with a series of metabolic benefits, among which the reduction of lipid profile values is highlighted [268]. The results obtained in this study support this statement.

The evaluation of liver function parameters (AST and ALT) in this study by applying the Wilcoxon Signed Ranks test revealed a different evolution in the two groups. In the interventional group, a statistically significant decrease in AST values was found at discharge, compared to the time of hospitalization ($p = 0.025$), suggesting a possible improvement in liver status. Regarding ALT values, they did not show statistically significant changes in either of the two groups ($p = 0.102$ for the interventional group and $p = 0.856$ for the control group), indicating a stability of this liver marker throughout the analyzed period. In the control group, in addition to stable ALT values, AST also did not show statistically significant variations ($p = 0.856$), suggesting that placebo therapy could not influence liver function. IHHT has attracted increasing interest in the scientific literature due to its favorable effects, including on liver function. Research conducted in patients with metabolic disorders has revealed significant improvement in liver enzymes [239, 330].

Conclusions

The results obtained outline a complex action profile of IHHT, suggesting not only punctual benefits but also systemic effects.

One of the most significant results of the study was the improvement of pulmonary function, highlighted by the increase in the Tiffeneau index values. This parameter is essential in the assessment of pulmonary function and, implicitly, in the early detection of possible pathologies. In the context of MS, where chronic inflammation and oxidative stress can

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negatively influence pulmonary function, the improvement of this index represents an important gain.

The 6-minute walk test, as an indicator of exercise capacity, recorded significant improvements in the IHHT group, reflecting superior respiratory and cardiovascular capacity. This result is particularly important in the context of MS, where sedentarism and reduced exercise capacity contribute to the worsening of the general condition. The improvement in exercise tolerance is correlated not only with improved lung function but also with an efficiency of oxygen use at the muscular level.

IHHT generated significant changes at the hematological level, highlighted by the increase in erythrocyte and hemoglobin concentration. These results support the specialized literature that attributes to this therapy the ability to stimulate angiogenesis and erythropoiesis. Improving the oxygen transport capacity in the blood has direct implications on physical performance, energy metabolism, and general resistance of the body.

The effects observed in this study support the idea that IHHT is a therapy with multiple mechanisms of action, which could occupy an important place in personalized treatment strategies in patients with MS. Despite the limitations regarding the parameters that were not statistically significantly improved, the results are encouraging and justify the expansion of research in the direction of this treatment method.

The study confirms that IHHT represents a promising and safe therapeutic strategy in MS, with favorable effects on multiple levels: respiratory, metabolic, hematological, and functional. The focus on improving lung function, associated with increased exercise capacity and improvements in metabolic parameters, outlines a complex and effective therapeutic profile.

IHHT is distinguished not only by its favorable objective results but also by its non-invasive nature and adaptability for various categories of patients.

ORIGINALITY OF THE THESIS

The personal motivation in carrying out this thesis was guided by the desire to contribute to the development of more accessible, safer, and better-adapted therapeutic interventions for the needs of patients diagnosed with obesity and MS. A special emphasis was placed on optimizing pulmonary function, an often overlooked but essential component in the complex management of these conditions.

This thesis represents the first and, to date, the only research work in Romania that investigated and applied IHHT in a structured scientific study.

Although there are studies in the specialized literature investigating the effects of IHHT in patients with MS, the originality of the present study lies in the fact that the studied parameters were not analyzed simultaneously and in a similar experimental setting, which would include a strict intervention protocol and a dynamic follow-up of the therapeutic response.

To date, existing research does not provide studies investigating the association between obesity, MS, pulmonary function, and IHHT. This gap highlights the need to explore possible interactions between the respiratory component and metabolic markers in order to identify personalized therapeutic strategies.

The novelty is given not only by the experimental design, but also by how the results are correlated, offering a unique perspective on the body's response to this intervention.

The thesis brings an added originality by the complex evaluation of the parameters obtained by spirometry, by using the PowerBreathe device and the Tanita RD scale, as well as by performing a complete set of paraclinical investigations, performing a lung X-ray to identify possible radiological changes, performing an abdominal ultrasound to identify hepatic steatosis, performing tests and scales, correlated with other relevant parameters. This multidimensional approach is innovative because it integrates assessment tools and methods that have not previously been explored together in the specialized literature. By combining these data, the thesis provides a complex and detailed perspective on the impact on respiratory function, body composition, and other essential metabolic factors, thus significantly contributing to the enrichment of existing knowledge in the medical field.

I believe that IHHT can represent a valuable complementary solution, with the potential to improve the quality of life of patients and optimize various health parameters, such as pulmonary and metabolic function.

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